



Prognostic and diagnostic role of putative biomarkers in breast carcinoma: An overview

S. Mirunalini *¹, K. Deepalakshmi¹

¹Department of Biochemistry & Biotechnology, Annamalai University, Tamil Nadu, India.

ABSTRACT

This review illustrates the relationship between biomarker and breast cancer and their molecular aspects which referring to both drugs and therapies. Even though, various prediction models have been constructed for breast cancer therapy, established clinopathological factors are not sufficient for clinical decision making particular regarding adjuvant chemotherapy. Some of the key decisions in the current management of breast cancer involve the need for prognostication; which is especially important in identifying patients whose prognosis is so favorable or patients whose prognosis is poor with conventional treatment as to warrant consideration of more aggressive investigational therapies. Several major programs have been organized to facilitate the validation and assessment of cancer molecular marker alongside the established “standards of care” for cancer diagnosis and treatment. Despite their successes, it is now commonly accepted that molecular marker have independent predictive power for disease predisposition, early detection, cancer staging, therapy selection, identifying whether or not a cancer is metastatic, therapy monitoring, assessing prognosis and advanced in the adjuvant setting. In this review, markers have been discussed essentially by highlighting the molecular aspects and referring to both drug and therapies, only as overviews.

Keywords: Breast cancer; Tumor markers; Ki-67; Tumor necrosis factor; Caspases.

*Corresponding Author Email: mirunasankar@gmail.com

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INTRODUCTION

Breast cancer continues to be a leading cause of cancer-related death and the most common cancer among women with prevalence increasing with age (Hanahan and Weinburg 2011)¹. The development of breast cancer and metastasis is a multistep process that often involves alterations and defects in major cellular pathways including DNA damage response, proliferation, senescence, angiogenesis and usually accompanied by an extended chromosomal instability (Kryston et al. 2011)². Even though, various prediction models have been constructed for breast cancer prognosis using clinical risk factors and environmental exposures. Despite their successes, it is now commonly accepted that genomic markers have independent predictive power (Cheang et al. 2008)³. In present days researchers currently focused on understanding the clinical significance of known markers finding relationships between them and discovering ones (Giancotti 2006)⁴. An avenue that is currently being actively pursued in clinic as well as translational research is looking at various molecular and biological marker often called “biomarker”.

A biological marker is a characteristic that is objectively measured and evaluated in biological samples as an indicator of conditions like normal biological processes, pathogenic states or pharmacologic responses to a therapy by a various techniques. However, molecular marker can be further classified as diagnostic, prognostic and predictive markers (Sawyers 2008)⁵. According to these diagnostic biomarkers can help in disease diagnosis. Prognostic markers that are utilized extensively by clinicians can be correlated with an endpoint regardless of therapy. On the other hand, predictive biological indicators predict outcome to specific therapy (Nowsheen et al. 2012)⁶. In this context, we presently summarized the most important currently available biomarkers in breast cancer that provide prognostic or predictive information.

Etiology and major risk factors

Breast cancer is a neoplastic process with a multifactorial etiology. Several factors, which act simultaneously and /or sequencelly, regulate the different steps of mammary carcinogenesis. These factors can be classified in the groups endocrinological, genetic and environmental. Among them, environmental factor and in particular nutrition represent an important group because of their transcendence in the population. Lipid intake has received the most attention as a possible risk factor of all the aspects of dietary composition that may be related to breast cancer. In general age is the strongest risk factor for breast cancer. Unlike many cancers that increase beginning at the end of the fifth decade of life, breast cancer begins to rise in the third decade of life, breast cancer begins to rise in the third decade of life, most likely due to the effects of ovarian hormones on breast tissues (Hulka and Moorman 2001)⁷. Morethan 2/3

of all new cases occur after the age of 55 and women older than 65 have a relative risk greater than 4.0 when compared with those younger than 65. Other factors that can increase the risk of breast cancer include an increase in lifetime exposure to endogenous or exogenous estrogen has been implicated as the most important risk factor for breast cancer. In addition exposure to environmental pollutants, including polycyclic aromatic hydrocarbons, during critical phases of early development is known to play a vital role in breast cancer susceptibility during the latter period of life.

Diagnosis

Clinical characteristics as age, menstrual status, tumor size, lymph node status and morphological characteristics of the tumor (histological type, Grade, lymphatic/vascular invasion) are an important prognostic factors. Traditional triple test for breast cancer diagnosis includes physical examination, mammography and aspiration cytology (Mitika 2003)⁸. Some of the diagnostic tools have been listed below:

Mammogram: The first diagnostic tool to identify breast cancer is mammogram is an X-ray of the breast that can show the presence of abnormal growth lumps in the breast area (Qaseem et al. 2007)⁹.

Biopsy: The removal of cells or tissues so they can be viewed under a microscope by a pathologist to check for signs of cancer. Four types of biopsies are as follows:

- (i) **Excisional biopsy:** The removal of an entire lump of tissue.
- (ii) **Incisional biopsy:** The removal of part of a lump or a sample of tissue.
- (iii) **Core biopsy:** The removal of tissue using a wide needle.
- (iv) **Fine-needle aspiration (FNA) biopsy:** The removal of tissue or fluid, using a thin needle.

MRI (magnetic resonance imaging): A procedure that uses a magnet, radio waves, and a computer to make a series of detailed pictures of areas inside the body. This procedure is also called nuclear magnetic resonance imaging (NMRI).

Lack of sensitivity for early malignancy and lack of specificity, combined with the low prevalence of most cancers in the general population; preclude the use of most existing tumor markers for screening asymptomatic subjects for early malignancy (Roulston 1990)¹⁰. Despite these limitations, a number of tumor marker markers have either undergone or are currently undergoing evaluation as potential cancer screening test. Tumor marker can be used as an adjunctive tool to narrow down a differential diagnosis of many but not all cancer type. Such marker may be used in the primary prevention of cancer, but also in screening, secondary prevention, diagnosis, prognosis, recurrence and monitoring of disease status.

Molecular biomarkers

Molecular biomarkers include altered or mutant genes, RNAs, proteins, lipids, carbohydrates and small metabolite molecules, and their altered expressions that are correlated with a biological behaviour or a clinical outcome. The role of biomarkers in cancer detection and progression is a major effort at various laboratories aimed at the development of novel and simple approaches for early detection of human cancer. Molecular profiling studies, the major contributors of cancer biomarker discoveries, are based on an association or correlation between a molecular signature and cancer behavior. One of the pioneering molecular profiling studies showed that gene expression patterns could classify tumors, yielding new insights into tumor pathology such as stage, grade, clinical course, and response to treatment (Grimm et al. 2013)¹¹. (Table 1) contain list of test acronyms used in tumor biomarker detection.

Table 1: List of test acronyms used in tumor marker detection

Test acronyms used in tumor marker detection	
EIA	Enzyme immunoassay
FISH	Fluorescent in-situ hybridization
ICC	Immunocytochemistry
ICMA	Immunochemical assay
IHC	Immunohistochemistry
IRMA	Immunoradiometric assay
MEIA	Microparticle enzyme immunoassay
PCR	Polymerase chain reaction
RIA	Radioimmunoassay
RT-PCR	Reverse transcriptase polymerase chain reaction

Characteristic features of tumor molecular markers

As a consequence of that, some criteria were chosen for the validation and proper selection of the most appropriate marker in a particular malignancy, and these are:

1. **Markers' sensitivity:** Sensitivity expresses the mean probability of determining an elevated tumor marker level (over the "cut-off value") in a tumor-bearing patient.
2. **Specificity:** Specificity expresses the mean probability that a normal tumor marker value derives from a tumor-free individual.
3. **Predictive values:** The predictive value shows the applicability of a tumor marker in a mixed group of patients.

Many theoretical applications exist for tumor markers in clinical oncology. Clinically important utilization of markers includes

- (i) Early detection of the tumor
- (ii) Differentiating benign from malignant conditions
- (iii) Evaluating the extent of the disease

- (iv) Monitoring the response of the tumor to therapy, and
- (v) Predicting or detecting the recurrence of the tumor.

Since no ideal tumor markers with adequate sensitivity and specificity currently exist, they are only exceptionally used in screening (prostate specific antigen - PSA). Nevertheless, tumor markers can play a crucial role in the detection of an early disease relapse and assessment of response to therapy in selected groups of patients. In monitoring the patients for disease recurrence, tumor marker levels should be determined only when meaningful treatment is possible (Novakonic 2004)¹².

Classification of tumor molecular markers

According to their application, tumor molecular markers can be roughly divided as markers in clinical oncology and markers in pathology. Current tumor markers in clinical oncology include

- (i) Oncofetal antigens
- (ii) Placental proteins
- (iii) Hormones
- (iv) Enzymes
- (v) Tumor-associated antigens
- (vi) Special serum proteins
- (vii) Catecholamine metabolites, and
- (viii) Miscellaneous markers

The Potential uses of tumor markers are screening in general population, differential diagnosis in symptomatic patient, clinical staging of cancer, estimating tumor volume, prognostic indicator for diseases progression, evaluating the success of treatment, detecting the recurrence of cancer, monitoring responses to therapy, radio immunolocalization of tumor masses, Determining direction of immunotherapy.

***In vivo* factors that affect Tumor markers**

- (i) Elevated values may be observed in renal failure and cholestasis, due to impaired excretion of the markers.
- (ii) In rheumatic diseases, elevated levels of CA-19-9 are observed.
- (iii) Drug interactions: antiandrogens inhibit PSA production.
- (iv) Rectal examination or transurethral manipulation results in elevation of serum level of PAP and PSA.
- (v) Cigarette smoking can result in elevation of CEA levels up to 10ng/ml (Novakonic 2004)¹².

As to the literature, an ideal tumor marker should fulfil certain criteria - when using it as a test for detection of cancer disease. Positive results should occur in the early stages of the disease.

1. Positive results should occur only in the patients with a specific type of malignancy.
2. Positive results should occur in all patients with the same malignancy.
3. The measured values should correlate with the stage of the disease.
4. The measured values should correlate to the response to treatment
5. The marker should be easy to measure. Most tumor markers available today meet several, but not all criteria.

Molecular markers in mammary carcinogenesis

Molecular biomarkers include altered or mutant genes, RNAs, proteins, lipids, carbohydrates and small metabolite molecules, and their altered expressions that are correlated with a biological behaviour or a clinical outcome. Molecular profiling studies, the major contributors of cancer biomarker discoveries, are based on an association or correlation between a molecular signature and cancer behaviour. One of the pioneering molecular profiling studies showed that gene expression patterns could classify tumors. (Table 2) shows the classification of bio markers.

Table 2: Biomarker for mammary cancer.

Sr.No	Types	Bio-markers
1	Proliferation Marker	ER,PR,HER-2,Ki-67,PCNA
2	Inflammatory Marker	TNF- α , COX-2,NF κ B
3	Apoptotic Marker	Bcl-2,Bax,Fas-FasL, Caspase -3,-8,-9, P53, cyclin D1
4	Angiogenesis Marker	VEGF, BRCA1 and BRCA2
5	Enzymes	Aromatase Inhibitor,MMP13

Proliferation Markers

The tumour proliferation rate is an important prognostic factor in breast cancer. It is essential to determine their ability to predict prognosis or response to therapy or both (Table 3). Several methods have been developed to estimate the proliferative rate of tumour cells. The S-phase fraction, as measured by flow cytometry, is a validated method for measuring tumour proliferation (Clark *et al.* 1989)¹³. However, flow cytometry is not commonly used because of the amount of tissue consumed for the assay. Alternative methods for measuring tumour proliferation have been developed, including immunohistochemistry (IHC) to detect cell cycle-related antigens, that are better suited for the evaluation of small archival tissue samples.

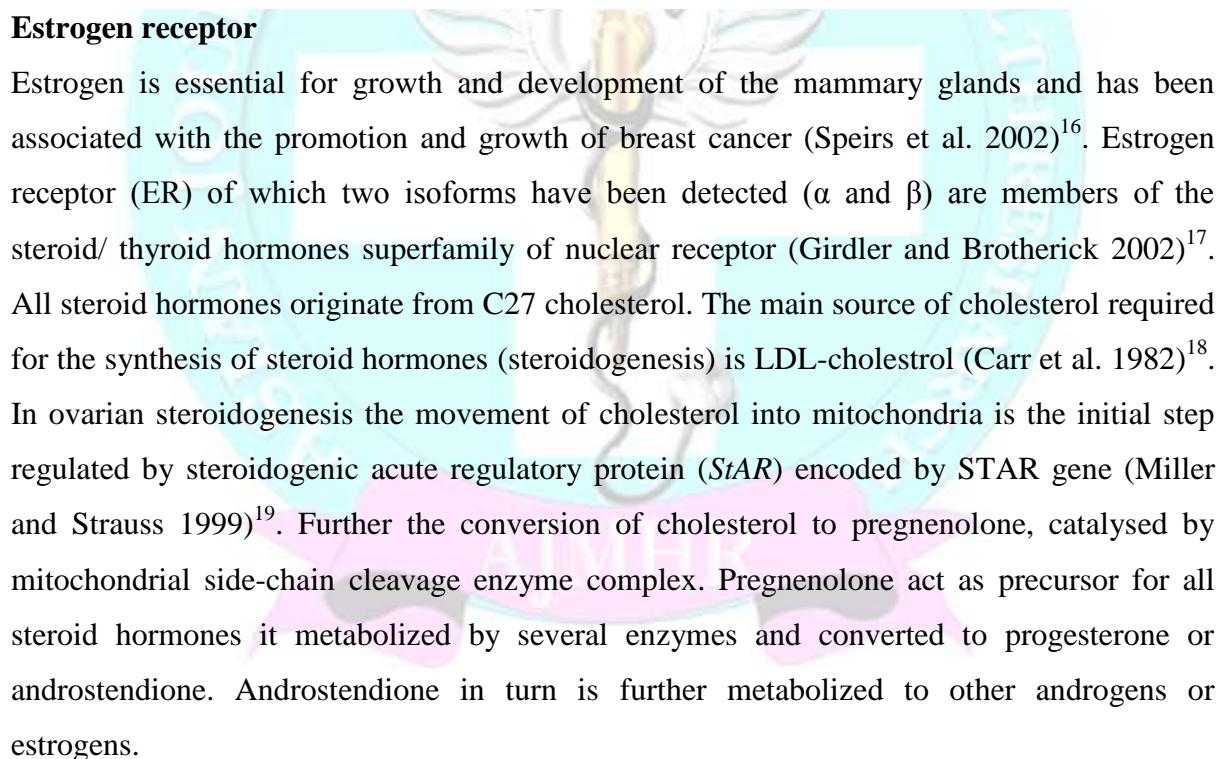
Table 3: Prognostic factors in breast cancer

Well established Prognostic factor	Investigational Prognostic factor
Ki-67	pS2
Estrogen receptor	Mitosin
Progesteron receptor	Epidermal growth factor receptor
HER-2	Insulin-like growth factor Apoptosis-related proteins Cell cycle molecules Plasminogen activators and inhibitors Angiogenesis-related proteins

Hormone Receptors

Hormone receptors are proteins expressed both in the epithelium and in breast stroma which bind to circulating hormones, mediating their cellular effects (Haslam 1989)¹⁴. According to the college of American pathologists both the estrogen receptor (ER) and progesterone receptor (PR) constitute a first category of prognostic factor in breast cancer. Early evidence suggesting a hormonal role in breast cancer development began with an early observation that bilateral oophorectomy significantly reduce breast cancer risk, and that risk reduction is greater if the ovaries are removed earlier in life (Trichopoulos *et al.* 1972)¹⁵.

Estrogen receptor



Estrogen is essential for growth and development of the mammary glands and has been associated with the promotion and growth of breast cancer (Speirs *et al.* 2002)¹⁶. Estrogen receptor (ER) of which two isoforms have been detected (α and β) are members of the steroid/ thyroid hormones superfamily of nuclear receptor (Girdler and Brotherick 2002)¹⁷. All steroid hormones originate from C27 cholesterol. The main source of cholesterol required for the synthesis of steroid hormones (steroidogenesis) is LDL-cholesterol (Carr *et al.* 1982)¹⁸. In ovarian steroidogenesis the movement of cholesterol into mitochondria is the initial step regulated by steroidogenic acute regulatory protein (*StAR*) encoded by STAR gene (Miller and Strauss 1999)¹⁹. Further the conversion of cholesterol to pregnenolone, catalysed by mitochondrial side-chain cleavage enzyme complex. Pregnenolone act as precursor for all steroid hormones it metabolized by several enzymes and converted to progesterone or androstendione. Androstendione in turn is further metabolized to other androgens or estrogens.

Estrogen receptors regulate the expression of genes involved in cell proliferation and/or differentiation. Estrogen mechanisms of breast cancer were illustrated in (Figure. 1). Binding of an estrogen (or an antiestrogen) cause a conformational change in both receptor types leading to their dimerization, strong association with DNA and recruitment of co-activators (or co-repressors) as well as other transcription factors. However, evidence suggesting a hormonal role in breast cancer development began with an early observation that bilateral

oophorectomy significantly reduces breast-cancer risk, and that risk reduction is greater if the ovaries are removed earlier in life (Tricbopoulous *et al.* 1972)¹⁵.

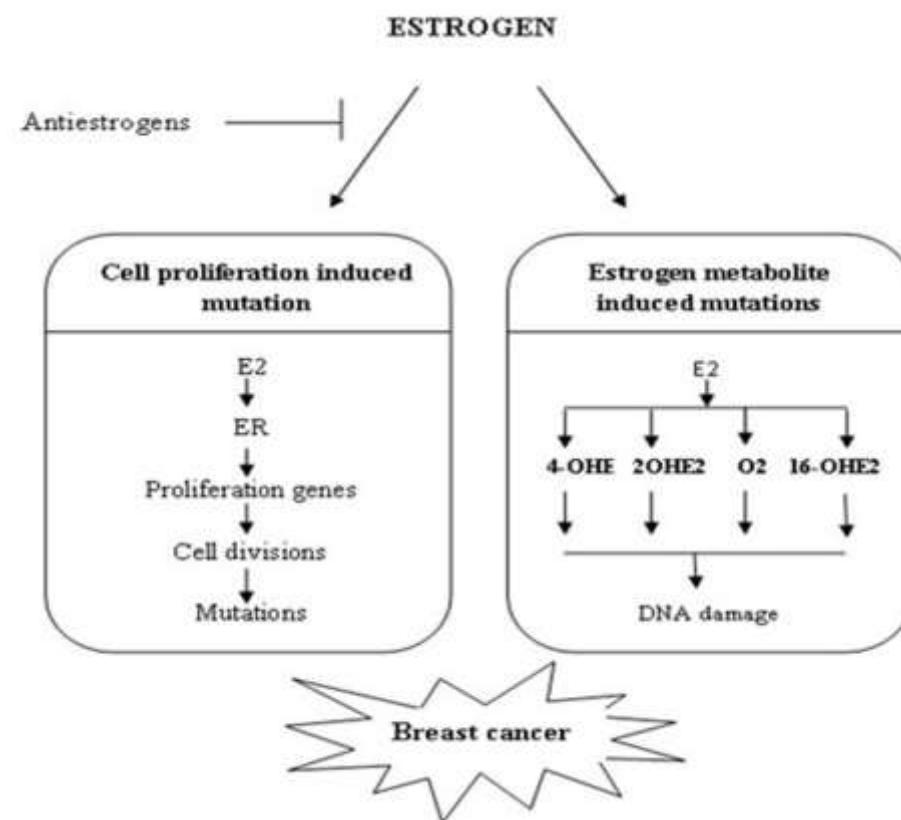


Figure 1: Estrogen mechanism of Breast cancer

Progesterone receptor

Progesterone (P4) is a key cycling ovarian steroid hormone that is highest in the luteal phase and has a major role to promote glandular differentiation of the endometrium. P4 is also sustained at high levels during pregnancy and is required for maintenance of pregnancy (Anderson and Clarke 2004)²⁰. Based on immunohistochemistry progesterone receptor (PR) is exclusively expressed in the epithelial cell compartment of mammary gland ducts with no evidence of expression in myoepithelial cells or stroma (Ismail *et al.* 2003)²¹. PR is uniformly expressed in epithelial cells in juvenile mammary gland ducts but switches to a heterogeneous pattern during puberty and in the adult. PR is expressed in approximately 40% cells and PR+ve cells are largely non-proliferative and reside nearly proliferative PR negative cells suggesting a paracrine mechanism for P4 induced proliferation (Shyamala *et al.* 2002)²².

The mechanism(s) underlying progesterone as a breast cancer risk factor is not well defined, but hypotheses have been developed. Whereas, the life-time cyclical proliferative effect of P4 on the breast cancer initiated by specific genetic changes. Indeed exposure of the human breast epithelium to ovarian sex steroids during the reproductive years is established to be a risk factor for breast cancer. As proliferative hormone, progesterone is a risk factor for human

breast cancer and that it stimulates normal human breast epithelium through a paracrine mechanism in (Figure. 2) (Bernstein 2002)²³. Alterations in the progesterone/PR signalling axis, including a switch from a paracrine to an autocrine regulation of proliferation contribute to progression. In more advanced stage breast cancer PR, either independent of P4 or in response to P4, suppress tumor invasion and metastasis through maintaining epithelial cell phenotype and impeding the epithelial- mesenchymal transition (EMT).

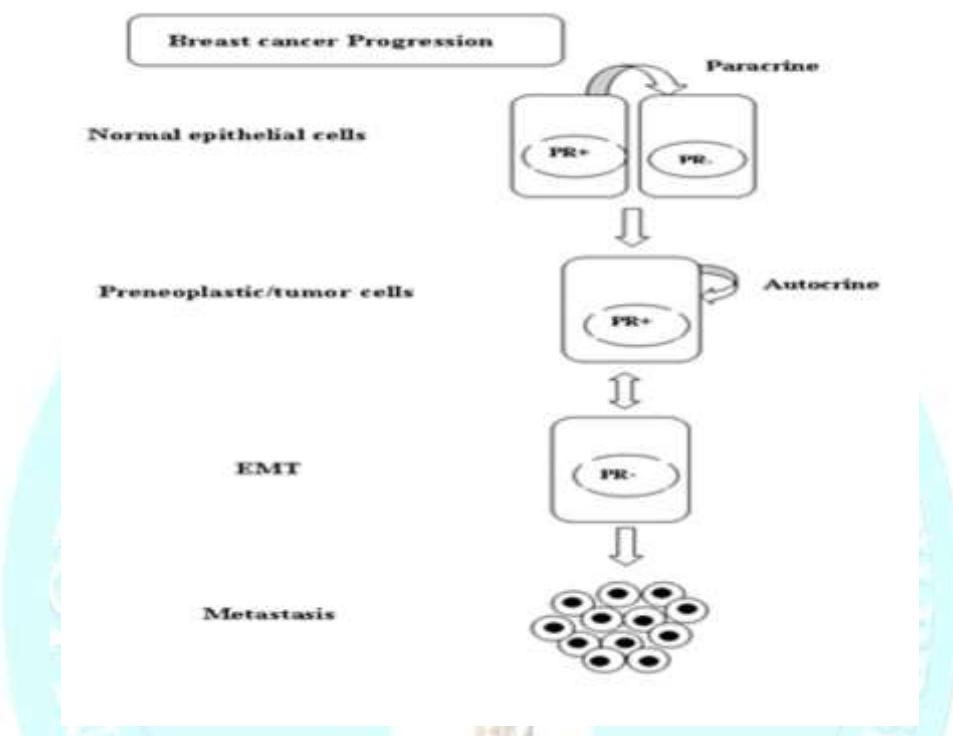


Figure 2: Progesterone mechanism of cancer

HER-2

HER 2/neu (human epidermal growth receptor 2) also known as ErB2, is a protein marker for breast cancer, which is currently used to predict response to trastuzumab (Herceptin) based treatment and to prognosticate course of diseases. There are two possibilities to measure the molecular state of HER-2/neu in patients: Immunohistochemistry (IHC) staining of whole cell membrane receptor in tissue sections obtained from biopsy or detection of the concentration of the extracellular domain (ECD) of HER-2/neu (HER-2/neu-ECD) which is shed in serum, via ELISA featuring the advantage of a biopsy-free analysis (Paynay et al. 2000)²⁴.

HER-2 is a receptor regulates a wide range of cellular process, including proliferation, differentiation, motility, survival, angiogenesis, invasion and antiapoptotic functions (Harari and Yarden 2000)²⁵. An endogenous ligand for the HER2 receptor has not been identified, but its activation is through to occur through heterodimerization when highly expressed. Herceptin is also known as Trastuzumab, is a humanized monoclonal directed against the

extracellular domain of HER-2. From the previous studies have shown that herceptin inhibits the growth of both HER-2 positive breast cancer cells in culture and HER-2 positive tumor in animals (Sliwakowski *et al.* 1999)²⁶. Furthermore, administration of Herceptin with chemotherapy resulted in better response rates, longer time to diseases progression and longer survival than chemotherapy alone (Shaks 1999)²⁷. Thus, HER-2 assay is currently mandatory in deciding whether or not to treat breast cancer patients with herceptin.

Ki-67

The Ki-67 antigen was originally identified by Gerdes and colleagues in the early 1980s, by use of a mouse monoclonal antibody against a nuclear antigen from a Hodgkin's lymphoma-derived cell line. This non-histone protein was named after the researchers location, Ki for Kiel university, Germany, with the 67 label referring to the clone number on the 96-well plate (Gerdes *et al.* 1983)²⁸.

Ki-67 is a nuclear antigen found in cells during the proliferative phase of the cell cycle (G1 phase, S phase, G2 phase and M phase), but not in cells during the resting phase (G0 phase). The protein can be identified by the monoclonal antibody MIB-1. Various studies have described the prognostic significance of the proliferation marker Ki-67 in invasive and early breast cancers. Whereas, patients whose tumors overexpress Ki-67 in more than 50 % of the cells are at high risk of developing recurrent disease (Urruticoechea *et al.* 2005)²⁹. In addition, Ki-67 can serve as tool to identify patients who will benefit from a specific chemotherapy or endocrine treatment. Therefore Ki-67 might have a valuable role in predicting benefit from specific treatment in subtypes of breast cancer.

Proliferating cell nuclear antigen (PCNA)

Proliferation cell nuclear antigen (PCNA) has been called the “ringmaster of the genome”, because this 29-kDa protein has been shown to actively participate in a number of the molecular pathways responsible for the life and death of the mammalian cells. PCNA by cells during the S and G2 phase of the cell cycle makes the protein a good cell proliferation marker. Cell proliferation is a biological process of vital importance to all living organism both in embryonic and in post embryonic existence. Down regulation of cell proliferation process is an important biological process lost in cancer. It is a member of cyclin family, an auxiliary protein to DNA polymerase and is involved in replication and repair process of DNA. Increase in PCNA expression has been reported when tissue progresses from normal epithelium to hyperplastic dysplasia and cancer (De Biasio and Blanco 2013)³⁰.

Inflammatory Markers

Many cancers arise from sites of infection, chronic irritations and inflammation. It is now becoming clear that the tumor microenvironment, which is largely orchestrated by

inflammatory cells, is an indispensable participant in the neoplastic process, fostering proliferation, survival and migration. In addition, tumor cell have co-opted some of the signalling molecules of the innate immune system, such as selectins, chemokines and their receptors for invasion, migration and metastasis. These insights are fostering new anti-inflammatory therapeutic approaches to cancer development (Lisa *et al.* 2002)³¹.

Tumor necrosis factor alfa [TNF- α]

TNF- α is a 17 kDa protein consisting of 157 amino acids that is a homotrimer in solution (Muller *et al.* 1987)³². Although TNF- α is a pleiotropic cytokine that can regulate a systemic inflammation and is a member of a group of cytokines that stimulate the acute phase reactions. TNF- α is mainly synthesized by activated macrophages, NK cells, T cells, B cells and natural killer cells (Carswell *et al.* 1975)³³. TNF- α was originally identified as an endotoxin induced, macrophages- derived serum protein that has ability to induce necrosis of tumor (Goldberg *et al.* 2010)³⁴. TNF- α receptor activation requires formation of multiprotein signalling complex leading to activation of transcriptional or an apoptotic pathways (Wu *et al.* 1993)³⁵. Although TNF- α was originally characterized to cause hemorrhagic tumor necrosis at high concentration in many types of cancer, low concentrations of TNF- α seem to increase tumor growth and progression (Balkwell 2002)³⁶.

TNF- α expression in inflammatory breast carcinoma was found to be related to higher tumor grade and lymph node involvement. The tumor- promoting function of TNF- α may be mediated by its ability to induce proangiogenic functions, to promote the expression of matrix metalloproteinase (MMP) and endothelial adhesion molecules and to cause DNA damage via reactive oxygen (Storci *et al.* 2010)³⁷. In addition TNF- α act as a mediator for IL-6 and IL-8 production. It also induces NF- κ B signalling pathway activation in stem like phenotype (Turini and Dubois 2002)³⁸.

COX-2

Prostaglandin endoperoxide synthase, commonly called cyclooxygenase (COX), is ~ 68 kDa protein is the key enzyme required for the conversion of arachidonic acid to prostaglandins. COX-1 and COX-2 are two known COX isoforms, COX-1 is higher inducible (eg., in gastric mucosa) whereas COX-2 is highly inducible (eg., at sites of inflammation and cancer) (Figure. 3) (Davies *et al.* 2002)³⁹.

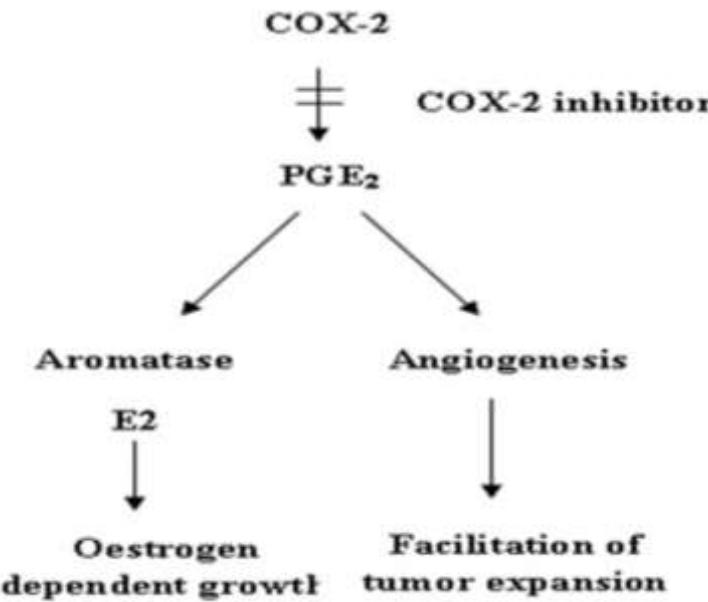


Figure 3: COX-2 inhibitors as chemopreventives of breast cancer (Davies et al. 2002)³⁹.

NFκB

The nuclear factor κB (NF-κB) was discovered as a protein bound to the kappa immunoglobulin gene enhancer in the nuclei of B cells (Sen and Baltimore 1986)⁴⁰. The proteins are a family of transcription factors that regulate expression of genes involved in immune and inflammatory responses, cell growth, differentiation and apoptosis (Junghann and Arnold 2004)⁴¹.

NF-κB is required for normal lobuloalveolar development of mammary gland. whereas, deregulation of normal NF-κB, activity such as expression of an abnormal form of the normal gene, has been shown to be involved in development of leukemia, lymphomas and solid tumors (Rayet and Gelinas 1999)⁴². The transcriptional activity of NF-κB is regulated by two pathways, termed the canonical and non-canonical pathways both pathways have now been implicated in carcinogenesis (Annunziata et al. 2007)⁴³. In general inflammation and NF-κB in particular have a double-edged role in cancer. Whereas, NF-κB activation usually results in the up-regulation of anti-apoptotic genes there by providing cell survival mechanism to withstand the physiological stress that triggered the inflammatory response (Perkins 1997)⁴⁴. Furthermore, NF-κB induced cytokines that regulated the immune response (such as TNF-α, IL-1,IL-6 and IL-8) as well as adhesion molecules, which leads to the recruitment of leukocytes to sites of inflammation. Moreover, NF-κB signaling was shown to contribute to cancer progression by controlling epithelial to mesenchymal transition and metastasis (Huber et al. 2004)⁴⁵. NF-κB also controls the expression of several genes that regulate cell cycle (cyclin D1), differentiation (P21cip/Waf 1), cell survival (Bcl-2, Bcl-XL, cIAP), growth factor (VEGF), cell adhesion and angiogenesis.

Apoptotic Marker

Apoptosis (or) programmed cell death is a genetically controlled cell death process, which is characterized by chromatin condensation, DNA fragmentation to nucleosome-sized pieces, membrane blebbing, cell shrinkage and compartmentalization of the dead cells into membrane enclosed vesicles or apoptotic bodies (Silva et al. 2014)⁴⁶. It is an ordered and orchestrated cellular process that occurs in physiological and pathological conditions. In general, apoptosis can be induced by two major pathways: extrinsic or death receptor mediated pathways and intrinsic or mitochondrial mediated pathways.

Bcl-2 associated x-protein (Bax)

Bcl-2 associated x-protein (Bax) is one of the primary targets of P⁵³ and controls cell death through its participation in disruption of mitochondria with the subsequent release of cytochrome c in cytosols (Marzo et al. 1999)⁴⁷. Bax is a 21-kDa protein that share homology with Bcl-2 in conserved region, including Bcl-2 homology domains BH1 and BH2. It may heterodimerized with Bcl-2 or other proteins and homodimerize with nucleus in viable cells. Bax is a cytosolic monomer, however during apoptosis, it can changes its conformation and inserts into the outer mitochondrial membrane thereby it may form oligomers. Bax oligomers are believed to contribute to the permeabilization of the mitochondrial membranes, either by forming channels, by interacting with components of the permeability transition pore (PTP) or by altering fission and fusion processes. Moreover it may interact with the BH3 domain or heterodimerized with Bax, thereby preventing Bax oligomerization and leading to an inhibitor of the mitochondrial pro-apoptotic events. Since Bax and Bcl-2 levels may regulate cell death processes and both proteins are widely expressed in many tissues, the Bcl-2-to-Bax ration varies in different cells, systems and during development or in the presence of apoptotic signals (Liu et al. 2013)⁴⁸.

B-cell lymphoma gene-2 (Bcl-2)

Bcl-2 was initially discovered in human B-cell lymphoma 2. It is a 24-kDa protein and has been shown to be located in the mitochondrial membrane, smooth endoplasmic reticulum and nuclear membrane. Based on their functions the members of the Bcl-2 family can be divided into pro-apoptotic (such as Bad and Bax) and pro-survival (or) anti-apoptotic (such as Bcl-2 and Bcl-XL) proteins.

The Bcl-2 protein binds to pro-apoptotic protein Bax and form heterodimers and the molar ratio of Bax to Bcl-2 determines whether apoptosis is induced or inhibited in the target tissue (Oltvai et al 1993)⁴⁹. When there is an excess of pro-apoptotic proteins, the cells are more sensitive to apoptosis and when there is an excess of anti-apoptosis proteins, the cells will tend to be less sensitive to apoptosis Bcl-2 is a key regulator of apoptosis and plays an

essential role in cancer and chemo resistance. It also contributes to neoplastic cell expansion cell expansion by preventing normal cell turnover caused by physiological cell death mechanisms. High levels of Bcl-2 gene expression are found in wide variety of human cancers and correlate with relative resistance to current chemotherapeutic drugs and γ -irradiation (Cunha *et al.* 2013)⁵⁰.

Fas/FasL

The cell surface Fas receptor (Fas), also termed as Apo 1 or CD95, is a member of the tumor necrosis factor (TNF) family of receptor (TNF-R), a group of type I transmembrane proteins. Structurally, Fas is a transmembrane cell surface receptor containing three cysteine-rich extracellular domains at the amino- terminus, which are responsible for ligand binding and an intracellular death domain (DD) of about 80 amino acid that is essential for transducing the apoptosis signal (Peter and Krammer 2003)⁵¹.

In higher organisms have developed several mechanisms to ensure the rapid and selective elimination of unwanted cells, one of which involves the interaction of cell surface Fas with its cognate ligand, Fas L (Figure. 4) (Houston and Connell 2004)⁵². Binding of FasL to Fas cause a higher order aggregation of the receptor molecules and requirement of the adaptor molecules Fas- associated death domain (FADD) via DD-DD interactions. FADD also has another domain called death effector domain, in which in turn recruits pro-caspase-8 (FLICE) and/or pro-caspase -10 to the receptor. The resulting multimeric protein complex is called the death-inducing signaling complex (DISC), and forms within seconds of receptor engagement (Peter and Krammer 2003)⁵¹. At the DISC, caspase-8 is activated which leading to the rapid activation of caspase-3 and cell death are known as type I cells. In some cells however, DISC formation following Fas stimulation is strongly reduced known as type II cells, in these cells mitochondria play an essential role as signal amplifies (Barnchart *et al.* 2003)⁵³.

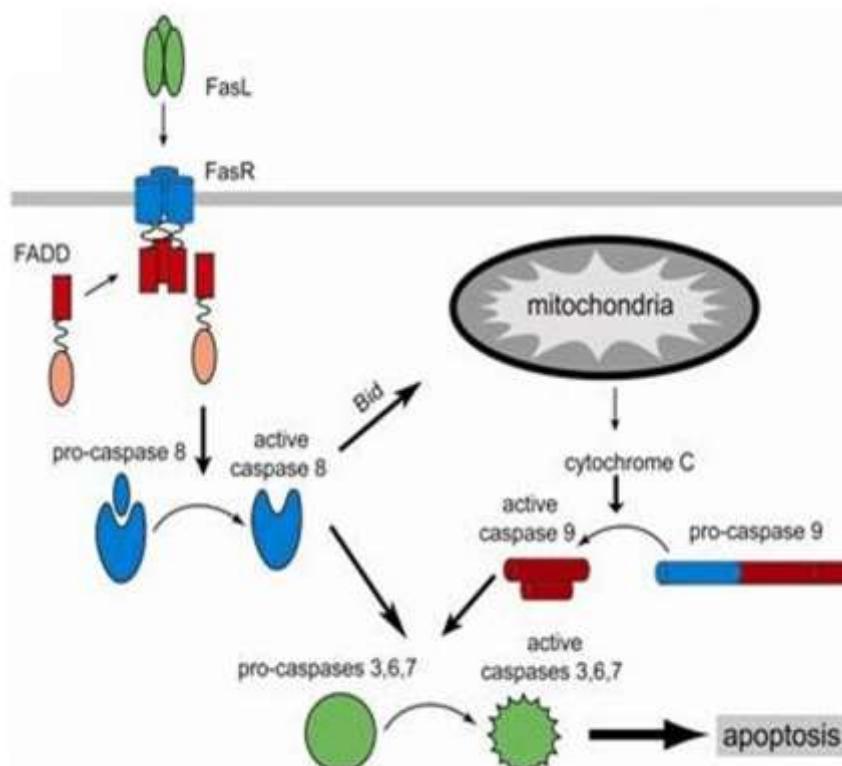


Figure 4: Apoptotic signaling via Fas receptor (Houston and Connell 2004)⁵².

Caspases and its inhibitors

The CASPASE (Cysteinyl Aspartate Specific ProteASEs) are family of important signaling molecules with various tasks depending on various subtype and organ involved. Currently in human, the caspase family consists of 13 members. Caspases are synthesized as relatively inactive zymogens that can be activated by removal of the regulatory prodomain and assembled into the active heteromeric protease (Nicholson 1999)⁵⁴. There are two types of apoptotic caspases: initiator (apical) caspases and effector (executioner) caspases.

Initiator caspases (e.g. CASP2, CASP8, CASP9, and CASP10) cleave inactive pro-forms of effector caspases, thereby activating them. Effector caspases (e.g. CASP3, CASP6, CASP7) in turn cleave a variety of intracellular protein substrates within the cell to trigger the apoptotic process. These protein targets include major structural elements of the cytoplasm and nucleus, components of the DNA repair machinery, and a number of protein kinases. All major apoptotic pathways result in the activation of caspases (Khan et al. 2006)⁵⁵.

Caspase 3

Caspases are synthesized as inactive proenzymes and become activated either by oligomerization in a large multimeric complex, which is the case for the initiator caspases-8 and caspase-9 or alternatively via proteolytic cleavage, which applies for effector caspase such as caspase-3 (Degterev et al., 2003)⁵⁶. Caspase -3 is activated by the upstream caspase-8 and caspase-9 and science it serves as a convergence point for different signaling pathways.

Once activated, they cleave various substances in the cytoplasm or nucleus causing characteristic morphological features of apoptotic cell death.

Pathways to caspase-3 activation have been identified are either dependent on or independent of mitochondrial cytochrome C release and caspase-9 function. Caspase-3 is an effectors caspase that is activated through the mitochondrial pathway that involves caspase- 9 or a death receptor pathway that involves caspase 8. Caspase-3 is a vital molecule in the apoptosis cascade, and the relationship between caspase-3 expressions and prognosis has been reported in many types of malignancies. Moreover it is also required for some typical hallmarks of apoptosis, and is indispensable for apoptotic chromatin condensation and DNA fragmentation, Thus it may also function before or at the stage when commitment to loss of cell viability is made (Degterev *et al.*, 2003)⁵⁶.

Caspase-8

Caspase-8 is a member of the caspase family of cysteine proteases, which are implicated in apoptosis and cytokine processing. Caspase-8 is a 55 kDa protein of 480 amino acids that comprises two death-effector-domains (DED) in its prodomain at the N-terminus and a C-terminal catalytic protease domain. The DED domain functions as platforms for protein-protein interaction (Barnchart *et al.* 2003)⁵⁷.

Caspase-8 is an initiator caspase that is present in most cells as proenzyme (Zymogen) in an inactive state. Upon the induction of apoptosis, caspase-8 becomes activated death activated via oligomerization in a multimeric complex at activated death receptors (Figure. 5) (Fulda 2009)⁵⁸. Accordingly, the ligation of death receptors such as CD95 or the agonistic TRIAL-R1 and TRIAL-R2 by their corresponding ligands CD-95 ligand or trial or by crosslinking antibodies triggers receptor trimerization and clustering of the receptor death domains, which enables the recruitment of adaptor molecules such as Fas associated with a death domain (FADD) via hemophilic protein-protein interactions through the death domains (Ashkenazi 2008)⁵⁹. Caspase -8 is in turn recruited to this complex via FADD through interaction of the DED domains, which leads to the formation of death- inducing signaling complex (DISC). This oligomerization of caspase-8 in the DISC drives its activation through autoproteolysis (Boatright and Salvesen 2003)⁶⁰. Beside its proteolysis at the DISC, caspase 8 can also be activated downstream of mitochondria upon initiation of the intrinsic apoptosis pathways (Degterev *et al.*, 2003)⁵⁶.

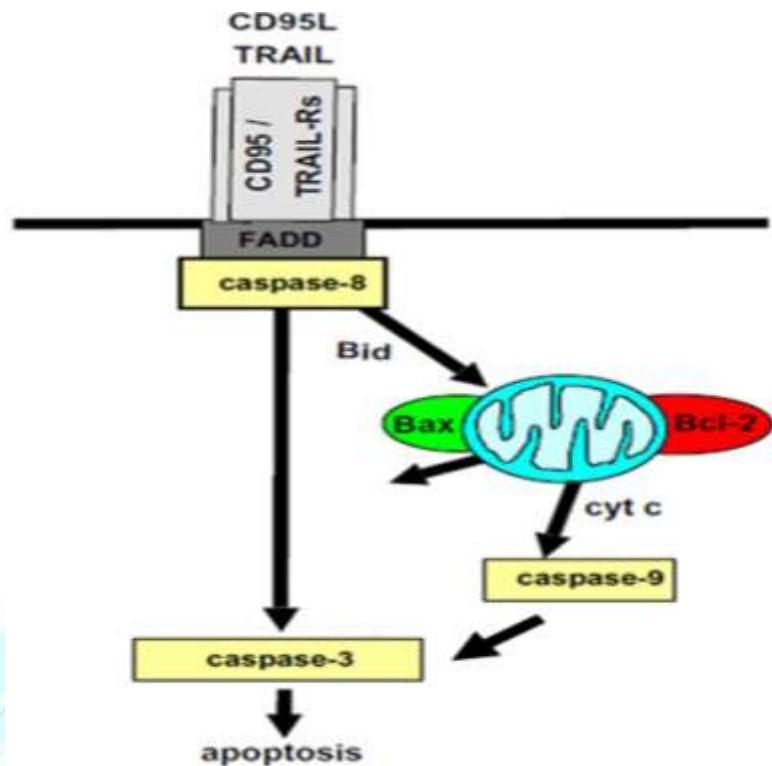


Figure 5: Apoptosis signaling pathways (Fulda 2009)⁵⁸.

Caspase 9

Caspase-9 is also an important member of the cysteine aspartic acid protease (Caspase) family. Upon apoptotic stimulation cytochrome c released from mitochondria associates with pro-caspase-9 (47kDa)/Apaf 1. This complex process pro-caspase-9 into a large active subunit (35 kDa or 17kDa) and a small subunit (10 kDa) by self cleavage at Asp 315(Zou et al. 1999)⁶¹. Cleaved caspase 9 further process other caspase members, including cas-3 and cas-7, to initiate a caspase cascade leading to programmed cell death.

p53

p53 is a nuclear phosphoprotein of Molecular weight 53 kDa, encoded by a 20-kb gene containing 11 exons and 10 introns, which is located on the small arm of chromosome 17. Whereas, a wild-type p53 protein contains 393 aminocids and is composed of several structural and functional domains.

As a tumor suppressor, p53 is essential for preventing in-appropriate cell proliferation and maintaining genome integrity following genotoxic stress (Vousden and Lu 2002)⁶². p53 serves as multifunctional role as a transcriptional regulator, it mediates G1-S growth arrest and play a critical role in maintaining DNA integrity by facilitating apoptosis of DNA-damaged cells (Arun and Hortobagyi 2009)⁶³. In addition, p53 mutations can lead either to loss or change of p53 binding activity to its downstream targets and may thus induce aberrant cell proliferation with consequent malignant cellular transformation. Based on p53's critical

role in carcinogenesis, scientists have developed multiple effective strategies for treating cancer by enhancing function of wild-type p53 or increasing p53 stability.

Cyclin D1

The cyclin D1 proto-oncogene is an important regulator of G1 to S phase progression in many different cell types. Together with its binding partners cyclin dependent kinase 4 and 6 (CDK4 and CDK6), cyclin D1 form active complexes that promote cell cycle progression by phosphorylation and inactivating the retinoblastoma protein (RB) (Lundberg and Weinberg 1998)⁶⁴. Phosphorylated pRB can no longer bind and repress the E2F transcription factors, which once liberated then proceed to activate genes that are essential for progression to the S-phase of the cell cycle. In addition to its CDK binding function, cyclin D1 can form physical associations with more than 30 transcription factors or transcriptional regulators and thus exert an important role in cellular growth, metabolism and differentiation (John 2007)⁶⁵.

Angiogenesis Markers

Angiogenesis is a normal and vital process in growth and development as well as in wound healing and in the formation of granulation tissue. However, it is also a fundamental step in the transition of tumors from a benign state to a malignant one by providing oxygen and nutrients to actively proliferating tumor cells.

VEGF

Vascular endothelial growth factor (VEGF) is a 34 to 42-kDa, dimeric, disulfide-bound glycoprotein. VEGF is an endothelium-specific factor, but is synthesized at a multiplicity of normal and pathological tissues sites, which include the stromal cells and infiltrating macrophages of the adipose tissues.

The presence of VEGF promotes the survival of the new vasculature by increasing the expression of the anti-apoptotic protein Bcl-2. High VEGF expression also promotes vascular permeability *in vitro*, leading to high interstitial and intratumoral pressure, which may allow tumor cells to enter the blood stream and metastasis as well as impairing the delivery of chemotherapy to the tumor (Jain 2005)⁶⁶. The VEGF ligands, which occur in several different splice variants and processed forms, have been identified so far. In certain respects, VEGFs share regulatory mechanisms with other well-characterized RTKs, such as the platelet-derived growth-factor receptors (PDGFRs) and the epidermal growth factor receptors (EGFRs). However, the VEGFRs also seem to be unique, for example, in their ability to transducer signals that form the three-dimensional vascular tube and in regulating vascular permeability that leads to the swelling of tissues. VEGFR1 is a positive regulator of monocyte and macrophage migration, and has been described as a positive and negative regulator of VEGFR2 signaling capacity. VEGFR2 is implicated in all aspects of normal and

pathological vascular-endothelial-cell biology, whereas VEGFR3 is important for lymphatic endothelial-cell development and function. Several lines of evidence implicate the importance of VEGFA in breast cancer (Gasparini 2000)⁶⁷. Patients with locoregional ductal cancers have elevated serum VEGFA concentrations in comparison with women with benign breast tumors. The highest concentrations of serum VEGFA were founded in metastatic breast cancer, in particular among patients who did not receive cancer therapy for metastatic disease (Salven *et al.* 1999)⁶⁸.

BRCA1 and BRCA2

The breast cancer susceptibility genes BRCA1 and BRCA2 encode multifunctional proteins, the mutant phenotypes of which predispose both to breast and to ovarian cancer (Wooster *et al.* 1995)⁶⁹. BRCA1 and BRCA2 encode large nuclear proteins widely expressed in different tissues, markedly during S and G2 phase. They bear little resemblance to one another or to other protein of known function (Venkitaraman 2002)⁷⁰. Both protein products have been consistently linked to various processes involved in the DNA damage response, acting as tumor suppressors. Understanding the normal functions and regulation of BRCA 1 and BRCA 2 may reveal how direct or indirect functional inactivation of BRCA genes ultimately leads to breast tumorigenesis. Late in 1999, an important series of experiments related the protein products of the *BRCA1* and *BRCA2* genes with the *ATM* protein kinase.

ATM homozygotes also show evidence of chromosomal instability and approximately a 100-fold increased risk for cancers. The ATM sequence bears a similarity to yeast genes that serve cell-cycle checkpoint and DNA repair functions. It was demonstrated that ATM phosphorylates *BRCA1*, activating a process of DNA repair through homologous recombination in cooperation with the *BRCA2* gene product (Cortez *et al.* 1999)⁷¹. Other proteins, including the product of *mRAD51*, and other molecules participate in this biochemical pathway (Figure. 6).

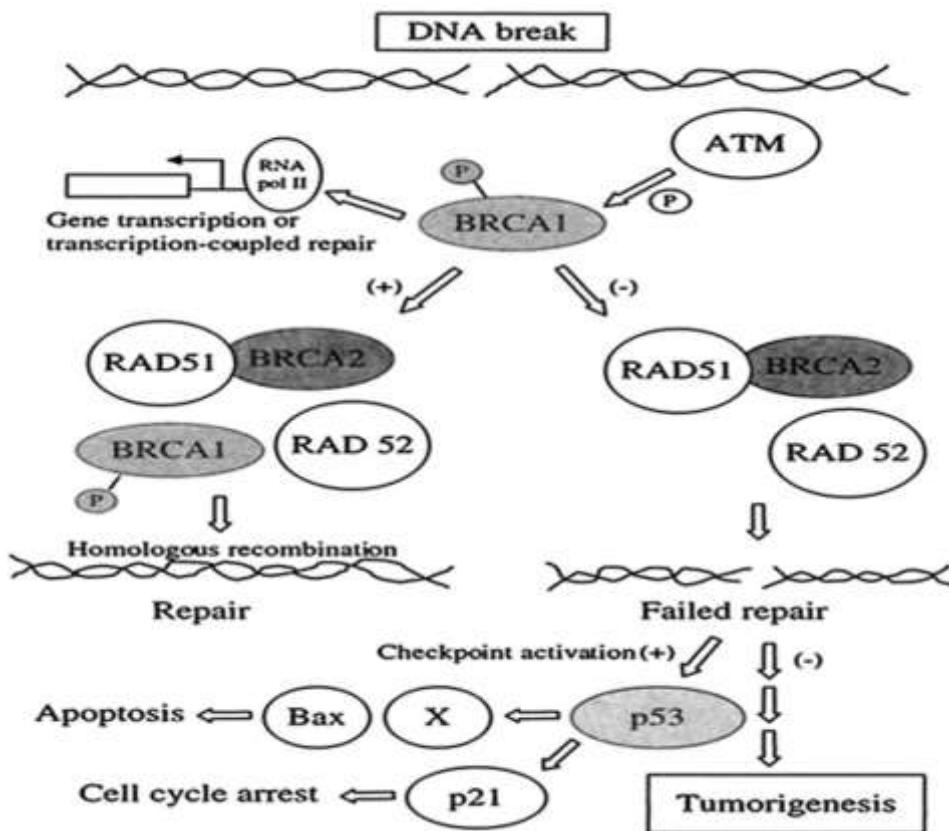


Figure 6. Current model for function of *BRCA* proteins (Kenneth offit 2000)⁷².

ENZYMES

Aromatase Inhibitor

Aromatase, an enzyme of the cytochrome P-450 super family and the product of the *CYP19* gene, is expressed in several tissues, including subcutaneous fat, liver, muscle, brain, normal breast tissues, and mammary adenocarcinoma (Goss PE and Strasser 2001)⁷³. The conversion of androgens to estrogens, the final step in estrogen synthesis, can be blocked by aromatase inhibitors. Aromatase activity, by increasing local estrogen synthesis, may play an early role in breast cancer carcinogenesis (Bulun et al. 1993)⁷⁴. AIs are classified as first, second, or third generation according to the specificity and potency with which they inhibit the aromatase enzyme. They are further subclassified as type 1 or type 2 inhibitors, according to the reversibility of their inhibitory activity. Type 1 inhibitors, steroid analogues of androstenedione, irreversibly inhibit the aromatase enzyme by covalently binding to it, thus earning the name “suicidal inhibitors.” Permanent inactivation persists after discontinuation of the drug until the peripheral tissues synthesize new enzymes. In contrast, nonsteroidal type 2 inhibitors bind reversibly to the aromatase enzyme, resulting in competitive inhibition(Goss PE and Strasser 2001)⁷³.

Third-generation AIs (i.e., anastrozole, letrozole, and exemestane) are the most potent, most selective, and least toxic AIs known today and can reduce serum estrogen by more than 95%.

In addition, their pharmacokinetic properties (a half-life of approximately 48 hours for anastrozole and letrozole and 27 hours for exemestane) allow for a once-daily dosing schedule (Wiseman and Adkins 1998)⁷⁵. Their selective inhibitory properties allow their use without the need for supplemental corticosteroidal or mineralocorticoid supplementation, as is the case with the nonspecific AI aminoglutethimide.

Markers of tumor invasion and metastatic potential: MMP 13

One specific group of proteolytic enzymes, matrix metalloproteinases (MMPs), were studied extensively as key mediators of ECM degradation and in the processing of other bioactive molecules (Hua et al. 2011)⁷⁶. MMPs also regulate cell surface growth factor “shedding” which regulates the proteolytic release of several proteins such as growth factors, chemokines and adhesion molecules. In a variety of different cancers, increased MMP expression and activation generally promote hallmarks of tumor progression including angiogenesis, invasion and metastasis, and correlate with shortened survival (Van der Jagt et al. 2010)⁷⁷.

Proteins of the matrix metalloproteinase family are involved in the breakdown of extracellular matrix in normal physiological processes, such as embryonic development, reproduction, and tissue remodelling, as well as in disease processes, such as arthritis and metastasis. Most MMPs are secreted as inactive pro proteins which are activated when cleaved by extracellular proteinases. The protein encoded by this gene cleaves type II collagen more efficiently than types I and III. It may be involved in articular cartilage turnover and cartilage pathophysiology associated with osteoarthritis. The gene is part of a cluster of MMP genes which localize to chromosome 11q22.3 (Tsai et al. 2014)⁷⁸.

Conclusion

An understanding the application of molecular and genetic marker in clinical oncology as prognostic factors and as potential targets for therapeutic intervention continues to evolve rapidly. They may well be useful in making decision regarding indentifying those who will benefit most and therefore avoid toxic side effects of treatment in breast cancer patients with the least risk for recurrence. Thus this review demonstrated the role of various putative biomarkers in breast cancer for early diagnostic benefit. Although we have covered a broad scope of the relevant literature, there are many studies that may have overlooked, and many important ongoing investigations with promise for the future.

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